



08/05/04

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/810,504	03/26/2004	Robert J. Krupa	16700-00016

CONFIRMATION NO. 8172

## FORMALITIES LETTER

\*OC000000012895635\*

Brian M. Dingman  
Mirick, O'Connell, DeMallie & Lougee, LLP  
1700 West Park Drive  
Westborough, MA 01581-3941

Date Mailed: 06/08/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$45** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### SUMMARY OF FEES DUE:

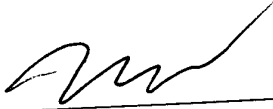
Total additional fee(s) required for this application is **\$495** for a Small Entity

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>\$385 Statutory basic filing fee.</li> <li>\$65 Late oath or declaration Surcharge.</li> </ul>                         | <p>08/06/2004 MAHME1 00000055 10810504</p> <p>01 FC:2001 385.00 DP</p> <p>02 FC:2051 65.00 DP</p> <p>03 FC:2202 45.00 DP</p> |
| <ul style="list-style-type: none"> <li>Total additional claim fee(s) for this application is <b>\$45</b></li> <li>\$45 for 5 total claims over 20.</li> </ul> |  |

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



**MIRICK O'CONNELL**  
ATTORNEYS AT LAW  
MIRICK, O'CONNELL, DEMALLIE & LOUGEE, LLP

August 4, 2004

**VIA EXPRESS MAIL NO. EV232293650US**

Mail Stop: Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant: Krupa et al.  
Serial No.: 10/810,504  
Filed: March 26, 2004  
For: COMPACT, HIGH-EFFICIENCY, HIGH-POWER SOLID STATE LIGHT SOURCE  
USING A SINGLE SOLID STATE LIGHT-EMITTING DEVICE  
Docket No.: 16700-00016

Dear Sir or Madam:

Enclosed please find the Notice To File Missing Parts Of Nonprovisional Application mailed on June 8, 2004, and the missing parts, namely a filing fee check in the total amount of \$495.00 (of which \$385.00 is the basic filing fee and \$65.00 is the late oath or declaration Surcharge), and the Declaration and Power of Attorney form, in the subject application. I am also enclosing our Certificate of Express Mailing dated August 4, 2004.

If for any reason this Response is found to be incomplete, or if at any time it appears that a telephone conference with counsel would help advance prosecution, please telephone the undersigned in Westborough, Massachusetts (508) 898-1501.

If any payment during prosecution is found to be insufficient or if any overpayment is found, please charge any deficiency or credit any overpayment to my deposit account number 50-1582. A copy of this letter is enclosed for use by the Finance Branch in the event that it is necessary to make any charge or credit to my deposit account.

Kindly acknowledge receipt of the foregoing by returning the enclosed self-addressed postcard.

Very truly yours,

Brian M. Dingman

BMD/clh  
Enclosures

MIRICK O'CONNELL

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that the attached response to Notice To File Missing Parts is being deposited with the United States Postal Service, Express Mail Certificate No. EV232293650US, on this 4th day of August, 2004, in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Charlene L. Hopkins